



Review

Pathogenetic mechanisms in hereditary dysfunctions of complex I of the respiratory chain in neurological diseases

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ABSTRACT

This paper covers genetic and biochemical aspects of mitochondrial bioenergetics dysfunction in hereditary neurological disorders associated with complex I defects. Three types of hereditary complex I dysfunction are dealt with: (i) homozygous mutations in the nuclear genes *NDUFS1* and *NDUFS4* of complex I, associated with mitochondrial encephalopathy; (ii) a recessive hereditary epileptic neurological disorder associated with enhanced proteolytic degradation of complex I; (iii) homoplasmic mutations in the *ND5* and *ND6* mitochondrial genes of the complex, coexistent with mutation in the nuclear *PINK1* gene in familial Parkinsonism. The genetic and biochemical data examined highlight different mechanisms by which mitochondrial bioenergetics is altered in these hereditary defects of complex I. This knowledge, besides clarifying molecular aspects of the pathogenesis of hereditary diseases, can also provide hints for understanding the involvement of complex I in sporadic neurological disorders and aging, as well as for developing therapeutic strategies.

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1. Introduction

Dysfunctions of complex I of the respiratory chain encompass more than 30% of hereditary mitochondrial encephalopathies [1–3]. Complex I defects have also been observed in other neurological disorders [4,5], like sporadic [4,5] and familial Parkinson disease (PD) [6,7], hereditary spastic paraplegia [8], Friedreich Ataxia [4], as well as in aging [9,10]. Once the genetic basis of hereditary complex I deficiencies has been defined, much more work is needed to understand, as in the case of many other hereditary diseases, the pathways going from the mutated gene to the biochemical, cellular, tissue disorder and ultimately to the clinical course of the disease. Clarification of this issue represents today a major challenge in biomedical research.

Complex I is the largest enzyme of the mammalian oxidative phosphorylation system. With a molecular weight of $\sim 1 \cdot 10^6$ Da it

contains a series of redox centers (one FMN, seven FeS-centers and two protein bound semiquinone/quinol couples) which catalyze the stepwise transfer of electrons from NADH to ubiquinone of the pool, coupled to the translocation of $4H^+/2e^-$ from the mitochondrial matrix to the cytosol [11–19] (Fig. 1). Complex I is made up of 45 protein subunits [20], of which seven are encoded by the mitochondrial genome, the others by nuclear genes [17,21]. Fourteen conserved subunits are essential for the redox and proton pumping activity [11,17]. Some of the supernumerary subunits participate in the assembly of the complex [22–25], others appear to be involved in regulatory function [17,25–28]. Complex I is a regulable pacemaker of the mitochondrial respiratory function [25–28], is a major site of cellular oxygen superoxide production [11,25,29–33] and is involved in apoptosis [34–36] and age-related functional decline [9,10].

The functional level of complex I in mammalian cells depends on: (i) coordinated expression of nuclear and mitochondrial genes; (ii) post-translational subunit modification; (iii) mitochondrial import/maturation of nuclear encoded subunits; (iv) subunits interaction and stepwise assembly; (v) proteolytic processing.

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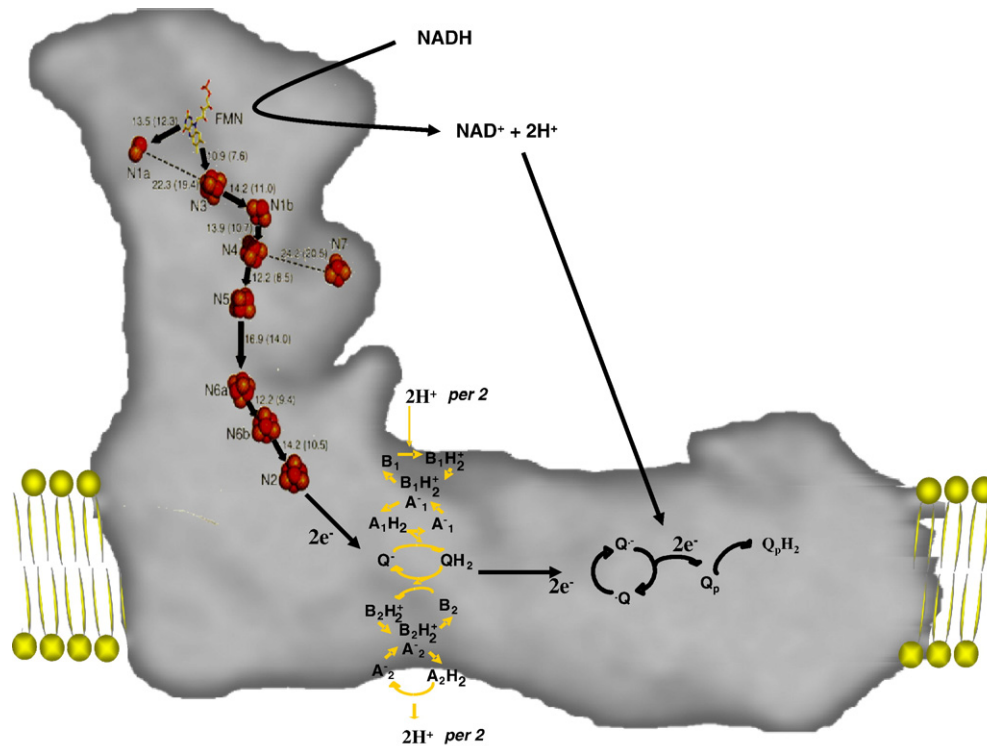


Fig. 1. Shape and electron and proton transfer pathways in complex I. The shape was obtained from high resolution electron microscopy image reconstitution of *N. crassa* complex I [15]. The location of the redox centers [12,16] is obtained from the X-ray crystallographic structure of the hydrophilic moiety of the *T. thermophilus* complex I (see Ref. [16]). In yellow a tentative ubiquinone-gated proton pump mechanism is shown [18], which translocates 4H^+ from the matrix space to the cytosol per two electrons flowing from NADH_2 to ubiquinone of the pool. In this model cooperative redox-linked H^+ transfer by the N2-PSST subunit mediates proton consumption from the N space in the reduction of a protein stabilized $\text{UQ}^{\bullet-}$ to UQH_2 ($\text{UQ}_{\text{NF}}^{\bullet-}$ in Ref. [19]). Protons are then released toward the P space upon electron transfer from this UQH_2 to a protein stabilized $\text{UQ}/\text{UQ}^{\bullet-}$ couple (in black) acting as electron transfer center (Q_{NS} in Ref. [19]) and from this to the ubiquinone of the pool [18,25].

This paper will review aspects of the molecular pathogenetic mechanisms in three types of hereditary dysfunctions of complex I in neurological diseases: (i) homozygous mutations in the nuclear genes *NDUFS1* and *NDUFS4*, encoding for two structural subunits of complex I, both associated with mitochondrial encephalopathy; (ii) a recessive hereditary epileptic neurological disorder associated with enhanced proteolytic degradation of complex I; (iii) homoplasmic mutations in the *ND5* and *ND6* mitochondrial gene of the complex, coexistent with mutation in the nuclear *PINK1* gene in a familial case of PD.

2. Homozygous mutations in *NDUFS1* and *NDUFS4* genes of complex I in mitochondrial encephalopathy

Papa et al. [22,37–39] have studied the impact on mitochondrial functions of mutations in the nuclear *NDUFS4* and *NDUFS1* genes of complex I, in children affected by neurological disease.

The 18 kDa (AQDQ) subunit [20,21], encoded by the *NDUFS4* gene, is essential for the assembly of the complex [22,23]. It is phosphorylated in murine [40,41] and human [22,37] cell cultures “in vivo”, by the cAMP-dependent protein kinase A, this phosphorylation being apparently involved in activation of the NADH-ubiquinone oxidoreductase activity of the complex [22,37,40,41] and prevention of accumulation of oxygen free radicals [25,42].

Import in mitochondria of the *NDUFS4* precursor protein, with the N-terminal mitochondrial targeting sequence, expressed “in vitro” in a reticulocyte lysate [43,44], or “in vivo” in transfected Hela cells [44], and its cleavage to the mature form, is promoted by phosphorylation of the protein by PKA [44]. In fibroblasts from control subjects and a neurological patient, with mutation in the *NDUFS4* gene resulting in disappearance of the 18 kDa (AQDQ) subunit, the newly imported protein was assembled in complex I [43]. These findings shed light

on the mechanism by which PKA mediated phosphorylation of the *NDUFS4* subunit can result in promotion in cell cultures “in vivo” of the NADH-ubiquinone oxidoreductase activity of the complex. It is not clear whether the *NDUFS4* subunit is assembled in the complex in the phosphorylated or dephosphorylated form. In the inner mitochondrial compartment a phosphatase activity, which dephosphorylates the *NDUFS4* protein has, in fact, been detected [45]. These observations could explain negative results of attempts to detect the phosphorylated form of the 18 kDa (AQDQ) protein [46] in complex I in isolated bovine heart mitochondria and the purified complex [47]. For a more detailed discussion of this issue see, however Ref. [25].

Four pathological exonic mutations [38,48,49], one intronic mutation [50], and a deletion of exons 3 and 5 [51] in the *NDUFS4* gene [52] have been found in different neurological patients (Fig. 2). The impact on mitochondrial functions of three of these mutations in the coding sequence of the *NDUFS4* gene has been characterized: a base duplication at position 466–470 in exon 5, which destroyed the RVS phosphorylation site in the carboxy terminus [48], a single base deletion at position 289/290 in exon 3, introducing a premature termination codon (PTC) [49] and a nonsense mutation in the first exon causing premature termination after only 14 aminoacid residues of the protein [38] (Fig. 2). Not only in this last mutation, as expected, but also in the other two the entire 18 kDa (AQDAQ) subunit disappeared from the patient's fibroblasts [22]. All the three *NDUFS4* mutations resulted in defective assembly of complex I, with the appearance of a non-functional lower molecular weight subcomplex (Fig. 3, [22]) and complete suppression of the NADH-ubiquinone oxidoreductase activity which did not respond any longer to cAMP activation (Fig. 4, [22]).

The 75 kDa-FeS protein encoded by the *NDUFS1* gene is the largest conserved subunit of complex I, which contains the N1b, N4, N5 Fe–S

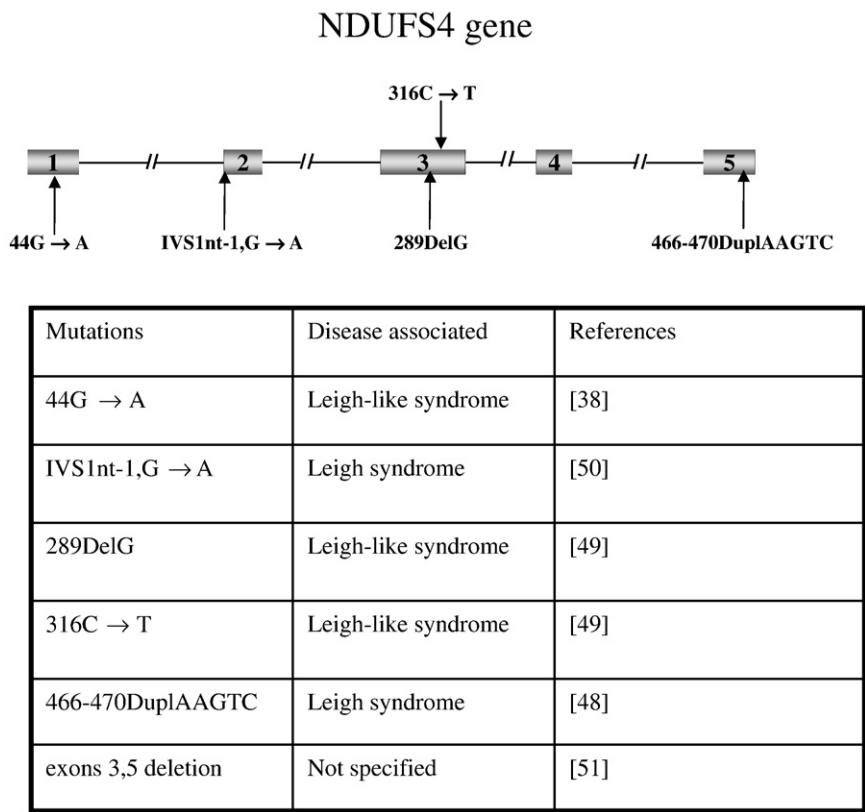


Fig. 2. Structure of the *NDUFS4* human gene of complex I with location of mutations found in neurological patients with complex I deficiency. In the table the four exonic mutations, the intronic mutation shown in the structure of the gene (A) and a sixth mutation with deletion of a region including exons 3 and 5, with the associated diseases are listed. For details see text, Ref. [52] and the references in the table.

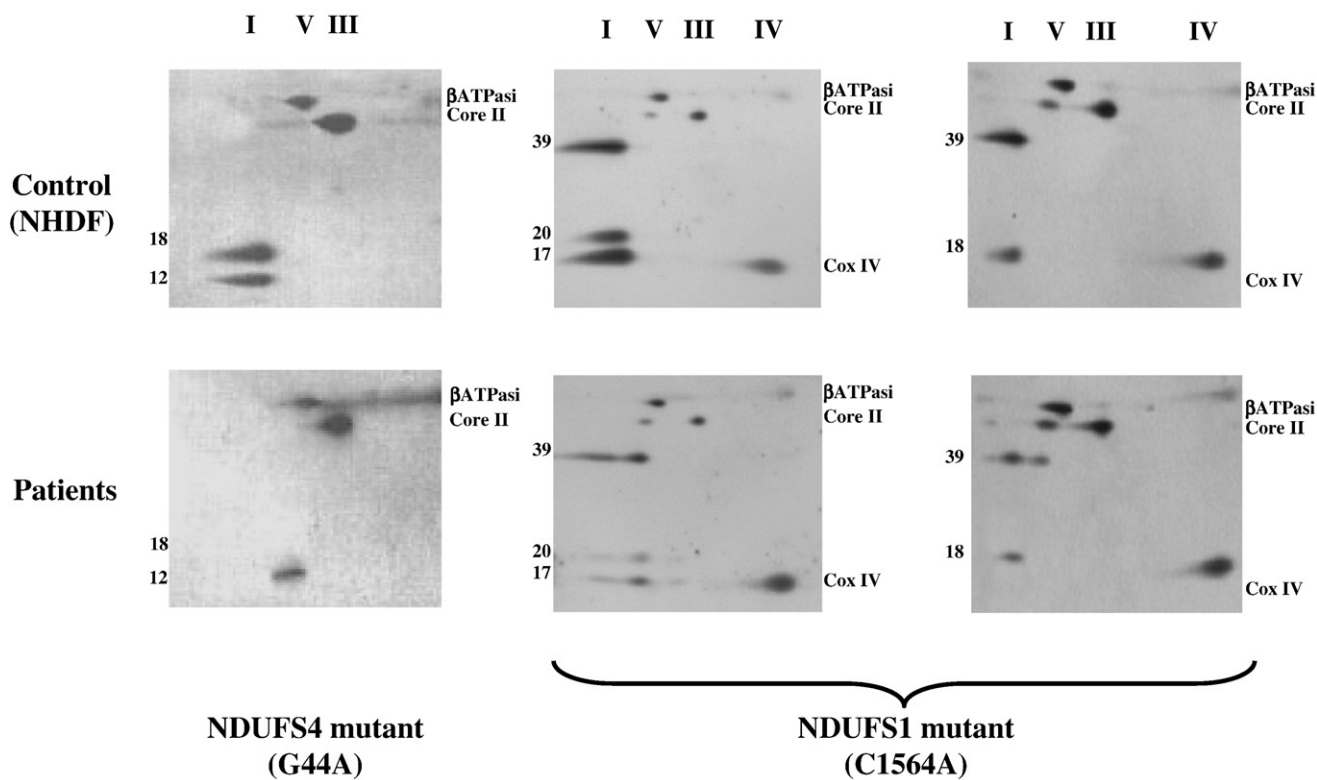


Fig. 3. Two dimensional electrophoretic pattern of respiratory complexes (I, III and IV) and ATP synthase complex (V) in mitoplasts from control (NHDF) and patient's fibroblasts. The complexes were separated by Blue Native electrophoresis and resolved in their subunits in a second dimension SDS-PAGE. Subunits were detected with specific antibodies. For details see text and Ref. [39] from which the figure is reproduced with permission.

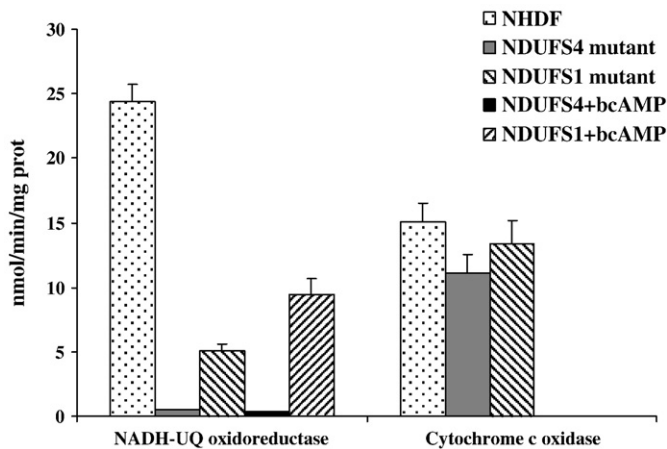


Fig. 4. NADH-ubiquinone oxidoreductase activity of complex I in mitoplasts from control (NHDF) and patient's fibroblasts. Effect of dibutyl-*l*-cAMP. The specific enzymatic activities (V_{\max}) were corrected for the rotenone insensitive reaction. Where indicated the fibroblast cultures were exposed to 100 μ M dibutyl-*l*-cAMP for 60 min. Data from Refs. [37–39]. For details see Refs. [37–39] and text.

clusters in the electron transfer pathway of the enzyme [12,16] (Figs. 1 and 5). Large scale deletions and point mutations in the *NDUFS1* gene have been found in patients with mitochondrial encephalopathy [53]. Iuso et al. [39] have characterized the functional consequences of a C1564A homozygous mutation in the *NDUFS1* gene (Q522K replacement in the 75 kDa protein) in a child affected by progressive leukodystrophy [3]. Glutamine 522 of the human protein is replaced by asparagine in the corresponding subunit (*NqO3* gene) of the *Thermus thermophilus* complex I. The X-ray crystallographic structure of

the bacterial complex I [16] showed that this asparagine is located in subdomain IV of the C-terminal part, which undergoes conformational change to an open configuration upon reduction of the complex by NADH (Fig. 5) [16].

In the fibroblasts of the patient harbouring the C1564A mutation the content of the mitochondrial normally assembled complex decreased significantly as compared to normal cells and a lower molecular mass of the complex appeared (Fig. 3) [39]. The mutation resulted also in a marked, but not complete, suppression of the NADH-ubiquinone oxidoreductase activity (Fig. 4) and depression of the mitochondrial membrane potential [39]. In the fibroblasts with the *NDUFS1* mutation, but not in those with the *NDUFS4* mutation, there was a large accumulation of H_2O_2 and intramitochondrial $O_2^{\bullet-}$ (Fig. 6).

Complex I is generally considered to represent the major mitochondrial source of $O_2^{\bullet-}$ in mammalian cells [29–33]. The complete suppression of the normal rotenone-sensitive NADH-ubiquinone oxidoreductase, caused by the deletion of the *NDUFS4* encoded 18 kDa subunit, is likely to result from inhibition of a redox step that is also involved in the direct reduction of O_2 to $O_2^{\bullet-}$ [30–33]. In the case of the *NDUFS1* mutation, the inhibition of the NADH-ubiquinone oxidoreductase can be attributed to altered function of the 75 kDa Fe-S protein encoded by this gene. The Q522K substitution can promote direct oxidation by molecular oxygen of the *NDUFS1* Fe-S center(s) or of other redox centers on the substrate side, once they are reduced by NADH.

In the *NDUFS1* mutant the depression of the activity of complex I (Fig. 4) and of the membrane potential [39] was counteracted by the addition to the fibroblasts culture of dibutyl-*l*-cAMP, which also prevented the accumulation of oxygen free radicals [39]. Both these rescue effects of cAMP have been generally observed in a variety of human and murine cell cultures, under conditions in which the

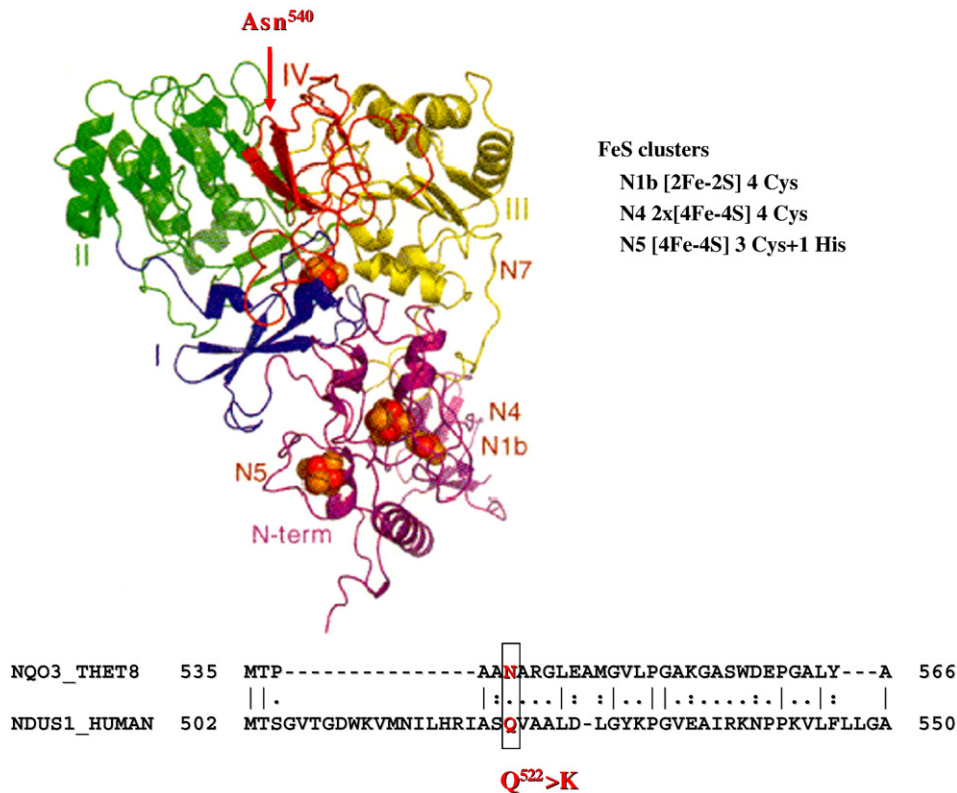


Fig. 5. X-ray crystallographic structure of the *NqO3* subunit of *T. thermophilus* complex I (homologous of the human *NDUFS1*, 75 kDa FeS subunit). Redrawn from Ref. [16]. The FeS cluster associated to the subunit and the amino acid sequence of subdomain IV of the C-terminal part of the *NqO3* and *NDUFS1* proteins are presented. The Gln 522, replaced by Lys in the *NDUFS1* C1564A mutation, is shown in red. In red the homologous asparagine in the *NqO3* corresponding region is also shown.

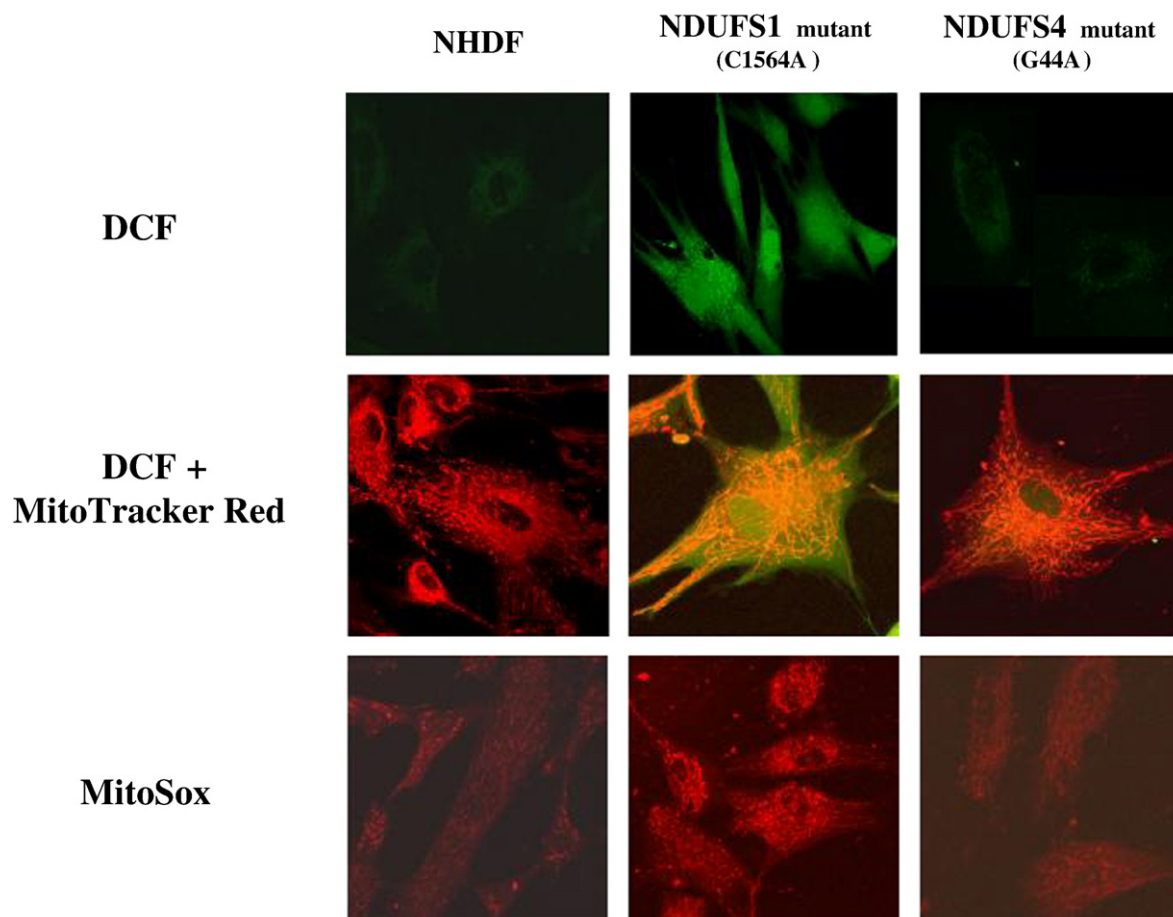


Fig. 6. Laser scanning confocal images of H_2O_2 and $\text{O}_2^{\bullet-}$ levels in control (NHDF) and patient's fibroblasts. H_2O_2 was detected by the green fluorescence of DCF; mitotracker red fluorescence detected mitochondrial membrane potential; mitosox red fluorescence detected intra-mitochondrial $\text{O}_2^{\bullet-}$. Reproduced with permission from Ref. [39]. For details see Ref. [39] and text.

nucleotide did not exert any effect on components of ROS scavenger systems [25,42, see also 32].

A study of the *NDUFS4* transcripts in the fibroblasts from three patients harbouring three different mutations in the gene revealed new aspects of the transcription of this gene (Fig. 7). The five exons of the gene result in a canonical mRNA coding for 175 residue protein (the precursor of the 18 kDa (AQDQ) subunit of complex I) [22]. In the patient with the point deletion at position 289/290 in exon 3 the transcript level was much reduced as compared to control cells [22] (Fig. 7). The introduction of the PTC in the middle of the transcript elicited its degradation by non sense mediated decay (NMD) [22] a mechanism of quality control that ensures fidelity of gene expression [54]. This explains the disappearance of the 18 kDa (AQDQ) protein from the patient's cells [22]. In the case of the 5 bp duplication at position 466/470 in the fifth-exon the transcript level was normal (Fig. 7) [22]. In this case the disappearance of the overall protein could be due to defective translation of the mutated transcript and/or proteolytic degradation of the longer translated product. In the 44G \rightarrow A non sense mutation in the first exon, disappearance of the mature protein was expected. This mutation which introduced a PTC in the proximity of the canonical AUG start codon, rather than eliciting NMD degradation of the canonical mRNA, upregulated three PTC containing alternative transcripts [55,56] (Fig. 8). The splice variants 1 and 2 (SV1 and SV2) result from insertion between exons 2 and 3 of a cryptoexon which uses two alternative acceptor sites (Fig. 8). The splice variant 3 (SV3) derives from exon 2 skipping [55,56]. These three alternative transcripts are produced also in normal cells but

their level is kept low by RNA surveillance mechanisms. SV1 and SV2 are degraded in the cytosol by NMD, the level of SV3 is down-regulated directly in the nucleus [56]. The 44 G \rightarrow A non sense mutation inactivated in the patient fibroblasts NMD degradation of SV1 and SV2 and nuclear down regulation of SV3 (Fig. 8) [56]. In a neurological patient with a homozygous splice acceptor site mutation in intron 1 (IV Snt-1, G \rightarrow A) of the *NDUFS4* gene (Fig. 9) only a mRNA transcript, in which exon 2 was skipped, was detected [50]. Amplification of this transcript and sequencing showed that it corresponded exactly to the PTC containing SV3 isoform detected in the patient with G44A nonsense exonic mutation in the *NDUFS4* gene (Fig. 9) [56]. The accumulation of the aberrant alternative transcripts, caused by the exonic or the intronic mutation in the *NDUFS4* gene, can represent another deleterious event contributing to the pathogenetic mechanism of the mutations in neurological patients.

In summary the *NDUFS4* mutations, which were associated with earlier onset and severe disease course resulted in: (i) disappearance of the 18 kDa (AQDQ) protein it encodes; (ii) block of the last step in the assembly of the complex; (iii) full suppression of the NADH-ubiquinone oxidoreductase activity; (iv) loss of cAMP promotion of the activity; (v) loss of Pasteur effect with enhanced glycolytic activity and chronic lactic acidosis; (vi) accumulation of unproductive alternative transcripts of the gene [22,50,55,56].

The C1564A *NDUFS1* mutation, which was associated with a less severe disease, did not suppress completely the NADH-ubiquinone oxidoreductase activity of the complex. The decrease of the level of mature complex I, could be due to proteolytic degradation of

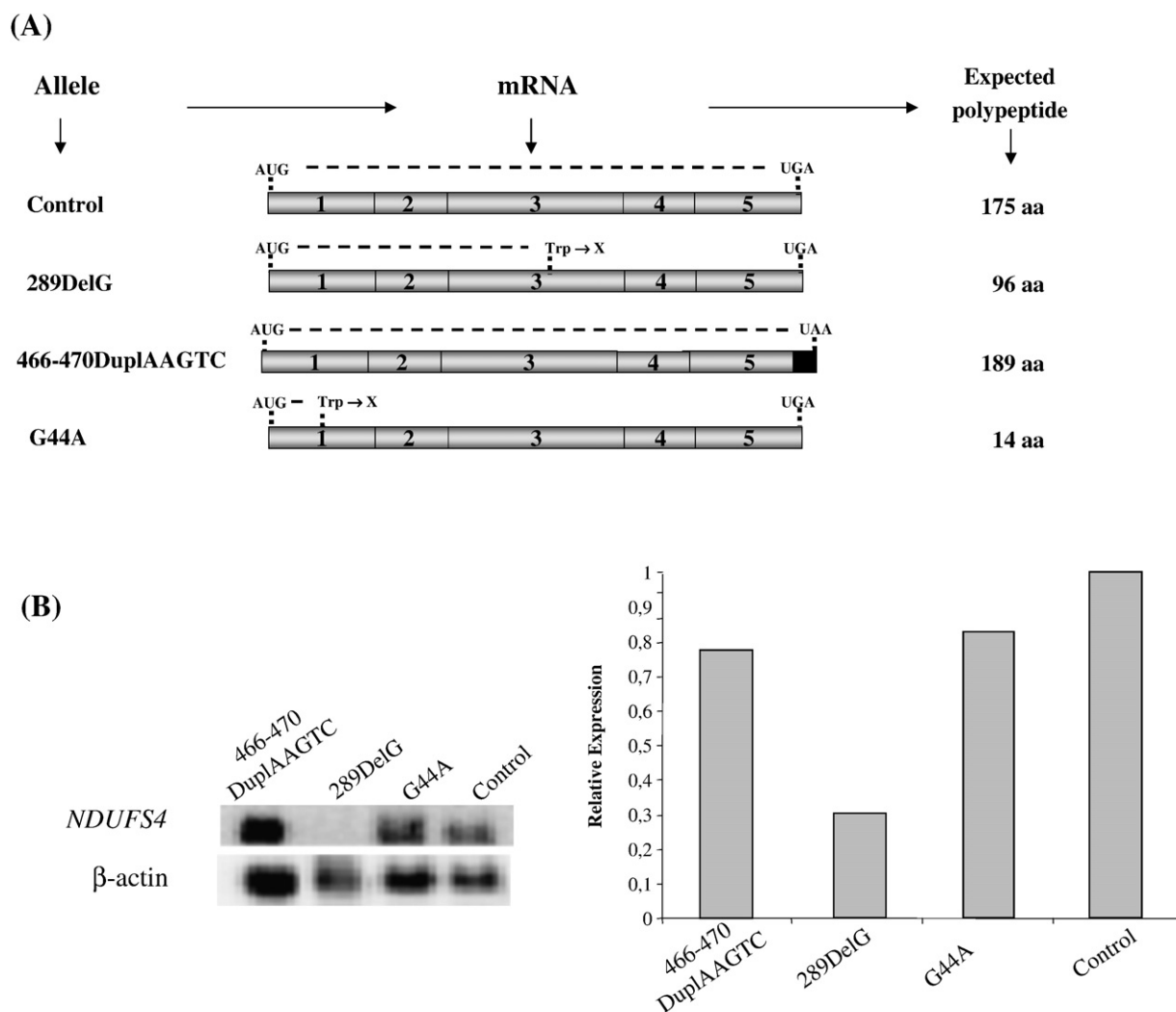


Fig. 7. Structure of normal NDUF54 mRNA and mRNAs generated by the NDUF54 pathological alleles and their steady-state levels in fibroblasts cultures. (A) In the G44A mutation as well as in the 289/290G deletion the aminoacids 15 and 96 change respectively from a tryptophan to a stop codon; the AAGTC 466–470 Dupl caused a frame shift with 14 aminoacids elongation of the protein (in black) and disrupted the phosphorylation site (RVS 129–131). (B) Northern blots (on the left) of total RNA from fibroblasts of a healthy child as control and patients with AAGTC 466–470 Dupl, 289DelG, and G44A, hybridized with a radiolabelled NDUF54 cDNA region. A β -actin probe estimated the amount of loaded RNA. Real time PCR (on the right) of total RNA from fibroblasts of a control, AAGTC 466–470 Dupl, 289DelG, and G44A patients. The relative expression levels of the NDUF54 transcripts with respect to the GAPDH transcript, used as internal reference, are presented. The experimental data in (B) are reproduced with permission from Ref. [22]. For details see text.

the complex, promoted by the enhanced production of ROS. The Q522K replacement in the 75 kDa protein could alter the redox function of the FeS centers (N1b, N4, N5) in this subunit and/or other redox components, resulting in inhibition of the normal forward electron transfer activity and enhanced direct reduction of O_2 to $O_2^{\bullet -}$.

3. Proteolytic degradation of complex I in a progressive hereditary epilepsy

Mutational gene analysis and cellular biochemical investigations carried out in our laboratories on two male sibs, affected recessively by inherited progressive cerebral atrophy, lactic acidosis and drug resistant epilepsy, reveal a new type of hereditary pathological dysfunction of complex I. Primary fibroblast cultures of both children exhibited a definite decline of the NADH-ubiquinone oxidoreductase, more severe in one of the two patients (Fig. 10A). This was reflected in a lower mitochondrial content of complex I, as shown by decreased level of the mitochondrial encoded ND6 and the nuclear encoded NDUF9 and NDUF6 subunits (Fig. 10B).

The activity and content of cytochrome c oxidase and complex V (ATP synthase) subunits were, on the other hand, normal. Differently from what observed in the mutations of the NDUF51 and NDUF54 gene of complex I no lower molecular form of complex I was detectable.

Extensive mutational analysis did not show any pathogenic mutation in the seven mitochondrial genes and 38 nuclear genes of complex I, except homozygous or heterozygous substitutions already reported in EST (Expressed Sequence Tags) data banks and ANP (single nucleotide polymorphisms) (<http://www.ensembl.org>).

The addition to the patient's fibroblast culture of a cocktail of protease inhibitors did rescue the level of subunits of complex I and the NADH-ubiquinone oxidoreductase activity (Fig. 10). No effect of the protease inhibitors was exerted on the subunit level and activity of cytochrome c oxidase and complex V.

Analysis of the import of the NDUF54 precursor protein in mitochondria isolated from fibroblasts of the two children shows that, whilst the binding of the precursor at the organelle surface was only partially decreased, the mature form of the imported protein, detected in the mitochondria from control fibroblasts, disappeared in

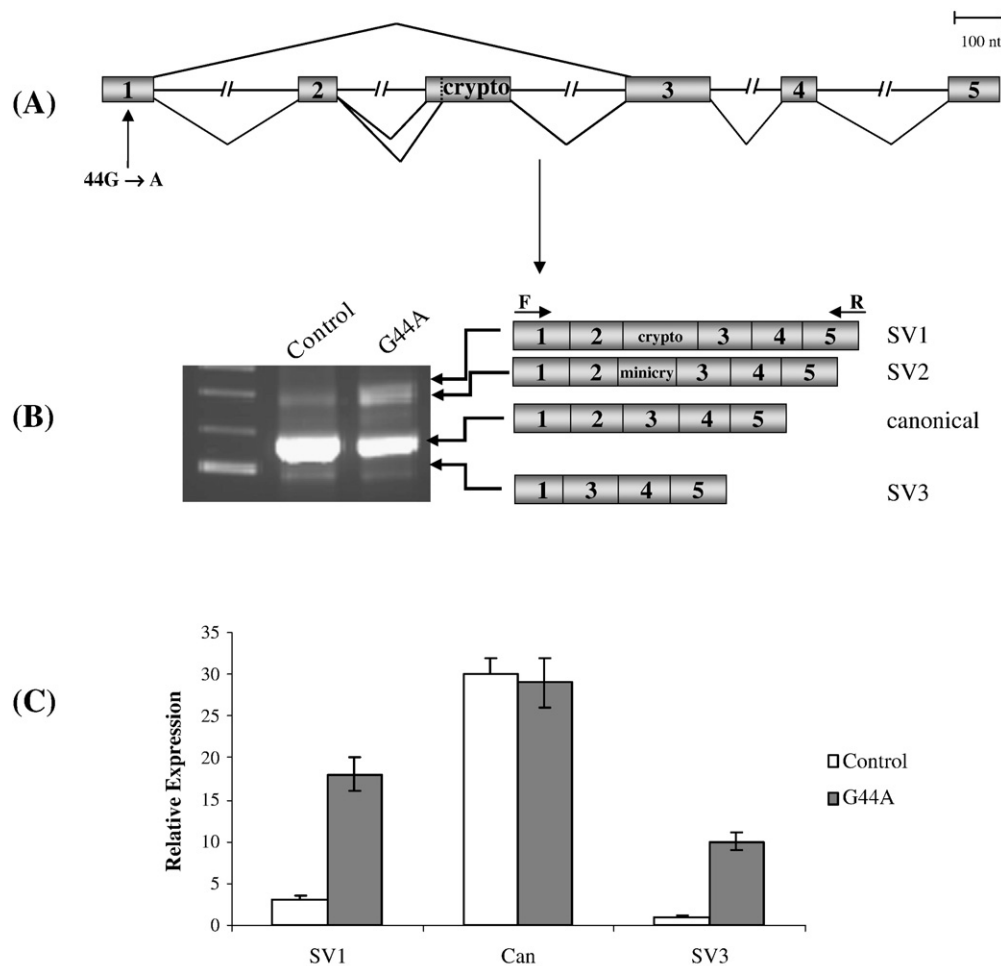


Fig. 8. Impact of the exonic G44A mutation on the expression of the *NDUF54* gene. (A) The scheme shows the mechanism of production of the alternative splicing products (B) normally produced by the *NDUF54* gene but up-regulated in the G44A mutation fibroblasts. (B) RT-PCR performed using two primers encompassing all the *NDUF54* ORF. (C) Real-time PCR in total RNA from fibroblasts of a control and G44A patient. The relative expression levels of the *NDUF54* alternative transcripts as well as the canonical mRNA with respect to the *GAPDH* transcript, used as internal reference, are presented. Reproduced with permission from Refs. [55,56].

those from both patient's fibroblasts (Fig. 11). The mature imported form of the *NDUF54* protein was again detectable in the patient's mitochondria, when the import assay was carried out in the presence of protease inhibitors (Fig. 11).

The above results indicate that the decreased content of functional complex I in the patient's fibroblasts is due to digestion of the complex by a protease present in the intra-mitochondrial space. It has been reported that calpain 10, a member of a family of 14 cysteine proteases, is localized in mitochondria and its activation by Ca^{2+} results in digestion of complex I subunits, including the mitochondrial encoded ND6 [57]. Preliminary confocal microscopy analysis of calpain activity based on the fluorescence of the cleavage product of the substrate SLLVY-AMC [57], revealed enhanced activity of the enzyme in both patient's fibroblasts, apparently colocalized with mitochondria (results not shown). Further work is in progress in our laboratories to identify the genetic factors involved in the activation of the proteolytic activity.

4. Homoplasmic mutations in the *ND5* and *ND6* mitochondrial genes of complex I in PINK1 familial Parkinsonism

Whilst the cause of sporadic PD remains unclear, several genes have been identified as responsible for hereditary forms of PD [4,58–60]. Mutations in the phosphatase and tensin homolog (PTEN) induced serine/threonine putative kinase-1 (*PINK1*) gene

(Fig. 12) represent the second most common cause of autosomal recessive Parkinsonism (ARP) [61,62].

Recent work from different groups provides converging evidence showing that the [8] *PINK1* kinase is involved in the degradation of unfolded or oxidized mitochondrial proteins [63–65], autophagy of damaged mitochondria [66–69] and mitochondrial fission/fusion [70]. *PINK1* with an N-terminal mitochondrial targeting sequence is essentially localized in mitochondria. It has been reported from time to time that *PINK1* is associated with the inner membrane [63,64,71], the intermembrane space [64,65,71] and the outer membrane [72]. The catalytic domain of the outer membrane *PINK1* faces the cytosolic space [72] where it phosphorylates the E3 ubiquitin ligase parkin [67,73], product of the *PARK2* gene, whose mutations represent the first most common cause of autosomal recessive Parkinsonism [74]. Parkin phosphorylation promotes its translocation from cytosol to mitochondria [73], where it participates to autophagy of damaged mitochondria [66–69]. *PINK1* has also been reported to phosphorylate TRAP1 (HSP75) [64] and to regulate, through an indirect phosphorylation mechanism, the protease HtrA2 [65], both these proteins being involved in quality control of oxidized mitochondrial proteins. Since TRAP1 and HtrA2 reside in the intramitochondrial space it is possible that *PINK1* can partition between the outer and the inner mitochondrial location in response to the prevailing cellular conditions [see also 75].

Different mutations in the *PINK1* gene have been detected in ARP and PD cases with an early onset [61,76–78] (Fig. 12). Even if the

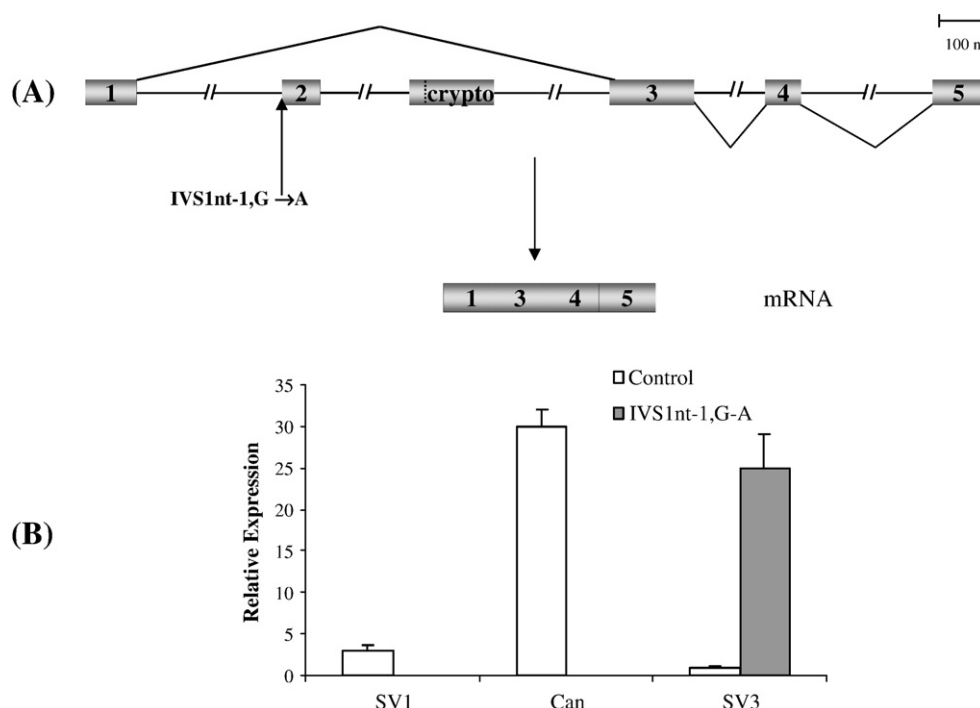


Fig. 9. Impact of the intronic IVS1nt-1,G-A mutation on the expression of the *NDUF54* gene. (A) The scheme shows the only splicing product generated by the IVS1nt-1,G-A mutated *NDUF54* gene. (B) Real-time PCR of total RNA from fibroblasts of a control and the IVS1nt-1,G-A patient. The relative expression level of the *NDUF54* transcripts with respect to the *GAPDH* transcript, used as internal reference, is presented. Reproduced with permission from Ref. [56].

heterozygous state of subjects carrying PINK-1 mutations does not generally evolve towards the disease, in some cases it does albeit with a later onset [78,79]. This would suggest that dysfunction of PINK1 may promote the disease in combination with other acquired or inherited defect(s). Mutations in mitochondrial DNA have, on the other hand, been reported in PD [67,80]. Our group has characterized the mitochondrial genotype and function in a female patient carrying a homozygous W437X PINK-1 mutation [6,7], resulting in a C-terminus truncated kinase with depressed kinase activity (Fig. 12) [81]. The same mutation was present in the heterozygous state in both the patient's parents [7] (see Fig. 12C). The fibroblasts of the homozygous W437X patient showed a significant depression of the oligomycin sensitive, endogenous respiratory activity both in the coupled and CCCP-uncoupled state, accounting in the last case for 50% depression as compared to control value (Fig. 13A). The respiratory control ratio was also lower. Direct enzymatic assays showed in the patient's fibroblasts normal specific activities of complex I and III of the respiratory chain (Fig. 13B). The specific activity of complex IV was also normal when assayed with added cytochrome c (a), but depressed when assayed with ascorbate plus TMPD (b), a condition that depends on the endogenous mitochondrial content of cytochrome c (Fig. 13B). The cellular level of ATP was higher in patient's fibroblasts than in controls when cells were grown in a glucose medium, but significantly lower in a galactose medium (Fig. 13C), a condition in which ATP is essentially produced by mitochondrial oxidative phosphorylation [82].

Two dimensional blue-native/SDS gel-electrophoresis combined with immunoblotting detection of constituent subunits of oxidative phosphorylation complexes showed no significant change in the content and subunit pattern of complexes I, III, IV and V (F_0F_1 ATP-synthase) in the patient's fibroblasts as compared to control [6,7].

Analysis with a specific fluorescent antibody showed that the content of cytochrome c, whose cellular distribution in control fibroblasts mimicked the mitochondrial reticular network, was markedly reduced in the patient's fibroblasts (Fig. 14A). Similar analysis with specific fluorescent antibodies showed that in the patient's

fibroblasts there was no decrease in the level of the mitochondrial β -subunit of the ATP synthase and subunit IV of complex IV (Fig. 14A) [6].

Confocal microscopy analysis with fluorescent probes revealed in patient's fibroblasts significant accumulation of H_2O_2 with a reticular distribution resembling the mitochondrial network (Fig. 14B). The MitoSox probe, which accumulates in mitochondria and reacts specifically with O_2^- , revealed accumulation of this oxygen reactive species in patient's mitochondria [7]. The accumulation of H_2O_2 in patient's fibroblasts was not prevented by apocynin, which specifically inhibits the plasma membrane NADPH oxidase [83] neither by dibutyl- α -naphthyl-AMP. On the contrary DPI, which binds irreversibly to the prosthetic flavin moiety of redox enzymes, including complex I [84], prevented completely the accumulation of H_2O_2 in the patient's fibroblasts (Fig. 14B). The accumulation of ROS in patient's fibroblasts was not due to a deficiency of the ROS scavenger capacity [6]. The depressed production of ATP by mitochondrial oxidative phosphorylation and the concomitant ROS accumulation, detected in the patient's fibroblasts could both result from the combined impact of complex I dysfunction, caused by a ND6 mutation [7] (see below), and the decreased mitochondrial content of cytochrome c [6]. The PINK-1 mutation can be responsible for this decrease of mitochondrial cytochrome c content. Defective phosphorylation by the mutated PINK1 kinase activity of TRAP1 has been reported to abrogate a protective effect exerted by this chaperon protein in preventing cytochrome c release from mitochondria [64].

Quantitative PCR showed that normal and patient's fibroblasts had a comparable content of mtDNA amounting to around 1000 mtDNA copy number/cell [7]. Sequence analysis of mtDNA of the homozygous patient's fibroblasts showed a number of single nucleotide changes (SNCs) disseminated throughout the entire mtDNA (Fig. 15) [7]. All but two were already reported as known polymorphisms (<http://www.mitomap.org>). These two SNCs were m.A12397G and m.T14319. C in the ND5 and ND6 genes, respectively. Notably, both were homoplasmic [7] and confirmed in DNA extracted from the patient's blood. Both the ND5 and ND6 mutations were also found in the

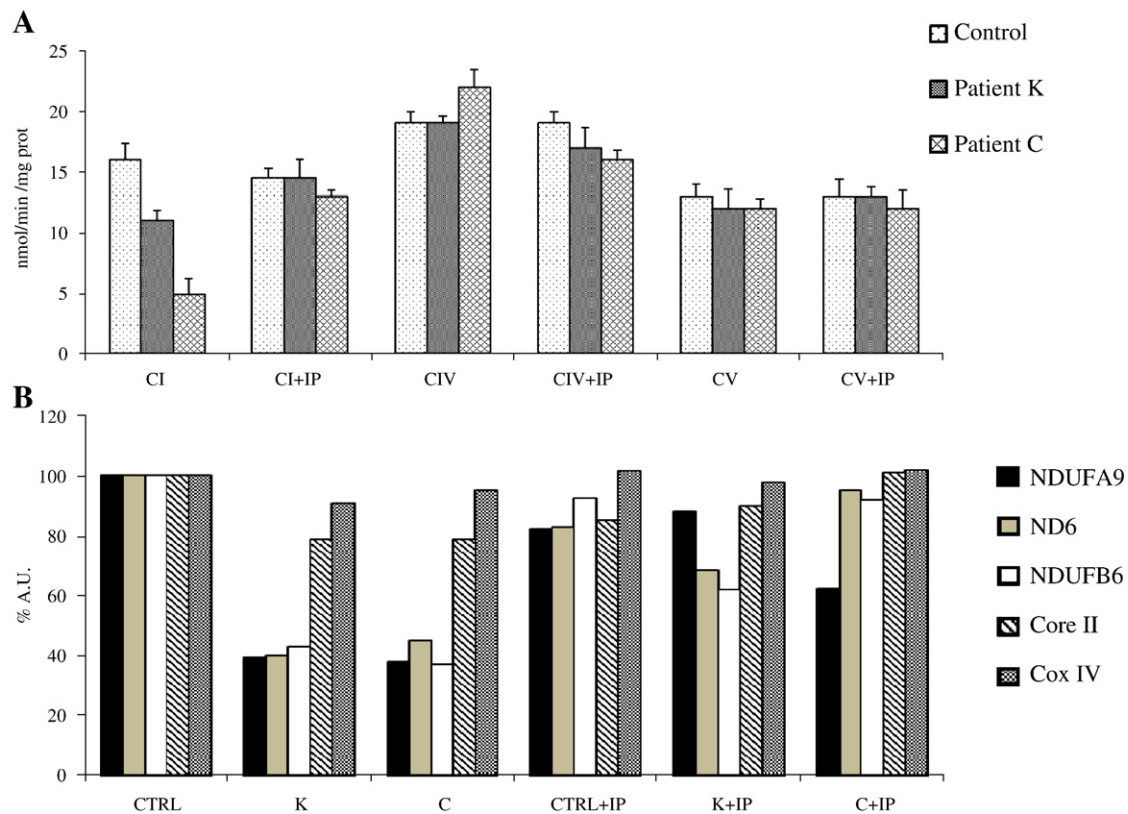


Fig. 10. Enzymatic activities of complexes I, IV and V and subunit levels of complexes I, III and IV in mitochondria from control and progressive hereditary epilepsy patient's (K and C) fibroblasts, before and after treatment with protease inhibitors (IP). Where indicated fibroblast cultures were treated for 48 h with the protease inhibitors cocktail (SIGMA) at 1:400 dilution (dissolved in DMSO and containing aprotinin, bestatin, leupeptin, pepstatin A and E-64 which is, in particular, specific for calpains). Panel A: Enzymatic activities, means \pm standard error of 3 or more determinations. NADH-UQ oxidoreductase activity was measured as described in [22]; cytochrome c oxidase activity was determined as in [39] and ATP hydrolase activity as in [7]. Panel B: Immunoblot and relative densitometric units of complexes I, III and IV subunits. Two-dimensional gel analysis (Blue Native PAGE/SDS-PAGE) of the mitochondria and immunoblotting were carried out as in Ref. [39]. Monoclonal antibodies against subunits of complex I (NDUFA9, ND6, NDUFB6), the core II subunit of complex III and the subunit IV of complex IV were from Molecular Probes (Invitrogen). Detection by chemiluminescence reaction was carried out by using the Western Lightning Chemiluminescence Reagent Plus, followed by exposure to Hyperfilm (Amersham Pharmacia). Quantification was made by densitometric analyses of bands intensity (VersaDoc Imaging System model 1000-Bio-Rad).

homoplasmic state in the mtDNA of the patient's mother blood. Conversely, the same analysis carried out on the patient's father blood resulted in a normal ND5 and ND6 genotype [7]. The mother presented a late onset PD, the father at the age of 70 was still exempt from the disease (see Fig. 12C). Collectively these results demonstrate that the homoplasmic mtDNA mutations found in the patient coexisted with the PINK1 mutation at birth and were inherited by the mother, which carried the same homoplasmic mtDNA mutations.

ND5 and ND6 are two of the seven mtDNA encoded, membrane embedded, subunits of complex I. The mt A12397G missense mutation changes a threonine in alanine at position 21 of the ND5 subunit (in a hydrophilic segment likely exposed to the inter-membrane mitochondrial space) [7]. Various ND6 mutations leading to aminoacid changes have been found, associated with mitochondrial diseases [85,86] and tumour cell metastasis [87]. Some of these mutations result in changes in the kinetics parameters of complex I [85,86] and/or production of oxygen free radicals [87,88] (see Fig. 16). Site directed mutagenesis in the Nuoj subunit (counterpart of the mammalian ND6) of *E. coli* complex I resulted in suppression of the proton pumping activity of the complex [89]. Similar site-directed mutagenesis indicated that the Nuoj subunit also delineates the binding site of ubiquinone [90]. In both cases [89,90] the assembly of complex I and its specific oxidoreductase activity were unaffected by the mutations as, in fact, found in the mitochondria from the patient of our study. The mt T14319C mutation changes an asparagine in aspartic acid at position 119 of the ND6 subunit. This mutation is located in the fifth of six predicted transmembrane helices (TMH) [7]. A comparison of the

hydropathy plot between the ND6 wild-type and the N119D mutant resulted for the latter in a pronounced destabilizing effect of the TMH-V [7]. Enzyme kinetic analysis of the NADH-ubiquinone oxidoreductase activity of complex I in normal and patient's fibroblasts showed an almost fivefold decrease of the apparent K_m for both ubiquinone and NADH in the patient's sample [7]. Conversely, the estimated maximal rate of complex I was practically unchanged when ubiquinone was used as limiting substrate, whereas a 26% inhibition of the V_{max} was observed in patient's fibroblasts titrated with NADH [7].

The changes in the K_m of the substrates in the patient's NADH: ubiquinone oxidoreductase activity would argue in favour of alteration in the catalytic feature of the enzyme. This, without compromising the overall electron transfer in the mitochondrial respiratory chain, may be, nevertheless, responsible for the enhanced production of ROS [see also Refs. 87,88]. The higher affinity for ubiquinone of the patient's complex I could predispose to a longer occupancy of the reduced quinone or of the semiquinone radical species at the catalytic site(s), with altered reduction potential of the upstream redox centers.

It can be recalled here that exposure of rats to complex I inhibitors like rotenone and 1-methyl-4-phenylpyridinium (MPP+) causes oxidative stress and reproduces features of PD [91,92]. It has, also, been reported that the anti-apoptotic proteolytic activity of HtrA2, downregulated in PINK1-mutated PD patients [65], is enhanced [93] by interaction with the protein product of GRIM19 gene (cell death regulatory gene induced by interferon and retinoic acid) [34]. The protein product of GRIM-19 has been identified as a subunit of

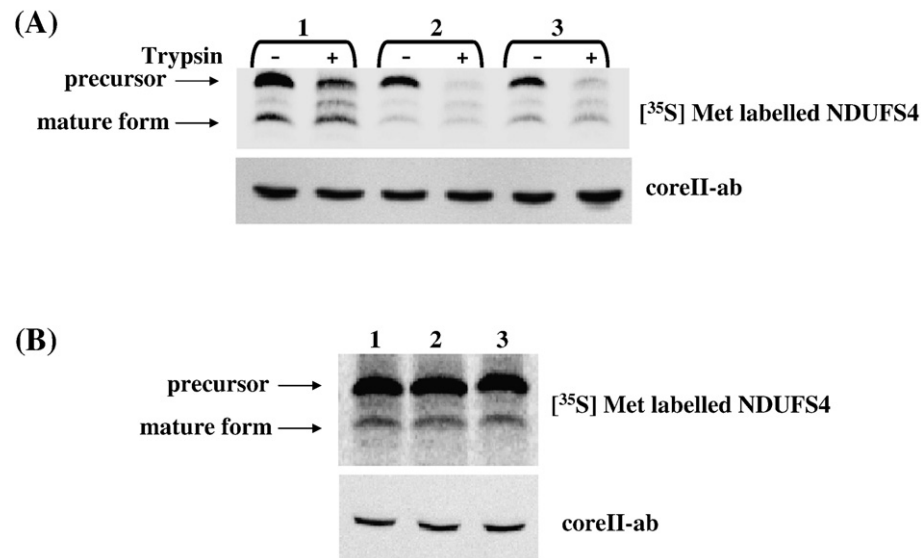


Fig. 11. Import of [³⁵S]Met-labelled NDUFS4 protein in mitochondria isolated from human cell cultures. In vitro transcription and translation of radiolabelled [³⁵S] methionine NDUFS4 precursor protein were performed in Rabbit Reticulocyte Lysate System (RRL). Mitochondria were isolated from control and patients fibroblasts. Cells were collected by centrifugation at 500 g for 3' and homogenized in ice-cold homogenization buffer (300 mM sucrose, 1 mM EGTA, and 20 mM MOPS, pH 7.4). Nuclei and cellular debris were pelleted by centrifugation at 600 g for 15 min. The supernatant was centrifuged at 10,000 g for 25 min, resulting in a crude mitochondrial pellet. Mitochondria were immediately employed for import experiments. Panel A, RRL translation product were incubated with freshly isolated mitochondria, from control (lanes 1) or from fibroblasts from patient K (lanes 2) or patient C (lanes 3), in BSA buffer (20 mM HEPES-KOH pH 7.4, 250 mM sucrose, 80 mM potassium acetate, 5 mM magnesium acetate, BSA 3%) plus 10 mM sodium succinate and 2 mM ATP at for 90 min. at 37 °C. Mitochondria were spun down from the import mixture before or after trypsin treatment (0.5 µg per 50 µg mitochondrial proteins, 35 min on ice). The solubilized pellets were analyzed by SDS-PAGE and autoradiography. Panel B, RRL translation product were incubated with freshly isolated mitochondria, from control fibroblasts (lane 1), fibroblasts from patient K (lane 2) or from patient C (lane 3), as described above, in the presence of protease inhibitors cocktail (dilution 1:600). Aliquots of the all samples were subjected to western blotting with antibody against the core II subunit of bc1 complex for other experimental details see Ref. [44].

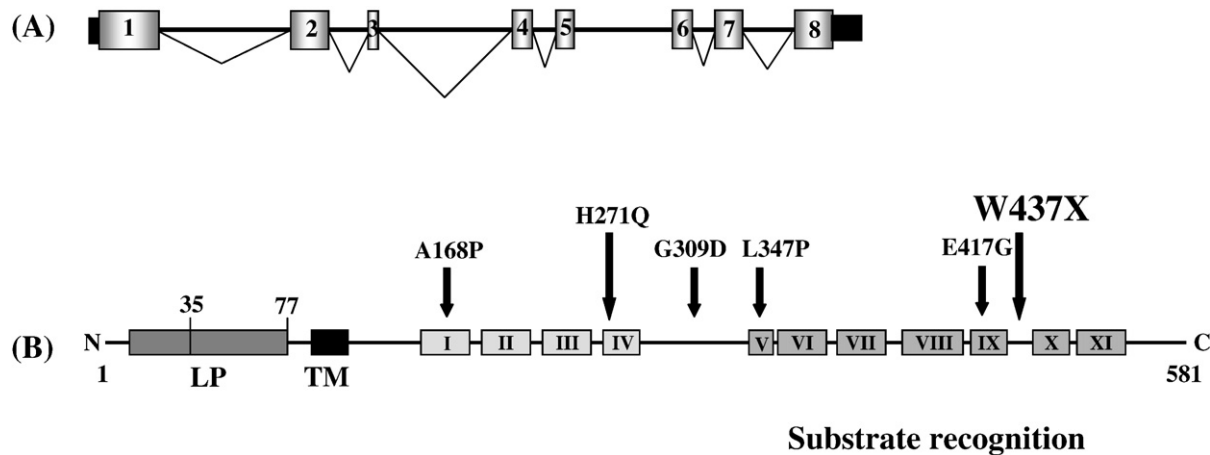


Fig. 12. Structure of the gene (A) coding for the PTEN induced kinase 1 (PINK1) protein (B) localized in the inner mitochondrial membrane. In B, the domains of the PINK1 protein (from ExPASy Proteomics Server; <http://www.expasy.org/>) and the mutations found in patients with familial Parkinson are shown. (C) PINK1 G1311A (W437X) mutation in the alleles and ND5 and ND6 mutations in the patient studied and her parents. Onset age of the clinical manifestations of the disease is also shown.

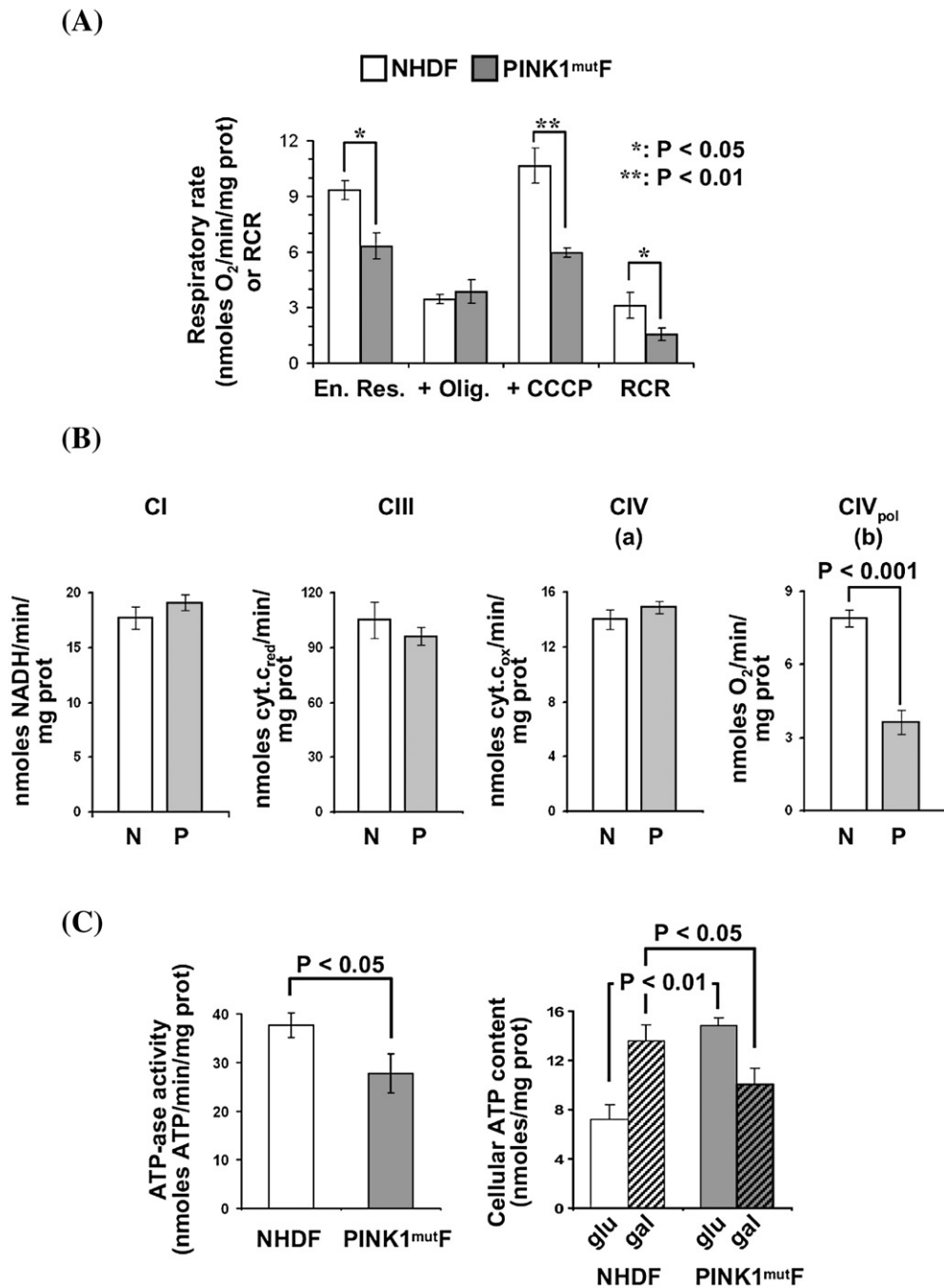


Fig. 13. Functional pattern of the oxidative phosphorylation system in control fibroblasts (NHDF) and fibroblasts from the Parkinson patients with the homozygous G1311A mutation in PINK1. (A) Endogenous respiration rate in the absence or presence of oligomycin (state 4) or of the uncoupler CCCP, RCR, respiratory control ratio. (B) Specific enzymatic activity of complexes I, III and IV (a, with added cytochrome c; b, supported by ascorbate plus TMPD). (C) ATPase activity of complex V and ATP content in fibroblast cultures grown in glucose or galactose medium. Reproduced with permission from Ref. [6]. For details see Ref. [6] and text.

complex I [35], is constitutively phosphorylated [36] and is essential for the assembly/function of the complex [94]. This intriguing PINK1/HtrA2/complex I interaction seems to deserve further study. It has, for example, been found that all-trans retinoic acid increases, by a post-transcriptional mechanism, the mitochondrial level of the protein product of GRIM-19 in complex I [95]. It would be of interest to investigate whether this effect of retinoic acid, which resulted, however, in a marked inhibition of the NADH-ubiquinone oxidoreductase activity [95], is associated with the reported stimulation by retinoic acid of protein phosphatase activity [96,97].

What presented provides an insight into the possible combined impact of PINK1 and mtDNA mutations in the development of

Parkinsonism, as exemplified by the familial case examined (see Fig. 12). In addition to the germinal ones present at birth, mutations in mitochondrial genes of complex I, i, can accumulate in the life span, in particular with aging. This can result *per se* in general decline of the functional capacity of oxidative phosphorylation complexes [9,10,98]. Complex I due to its gene and protein complexity appears to be an hotspot for gene mutations and protein damages.

The decline in the mitochondrial bioenergetics function, particularly critical in neurons due to their high ATP demand [17], is normally counteracted by defence mechanisms which encompass ROS scavenger systems [33,42,99], quality control of DNA [100], RNA transcripts [54,56] and oxidized proteins [63–65], autophagy of damaged

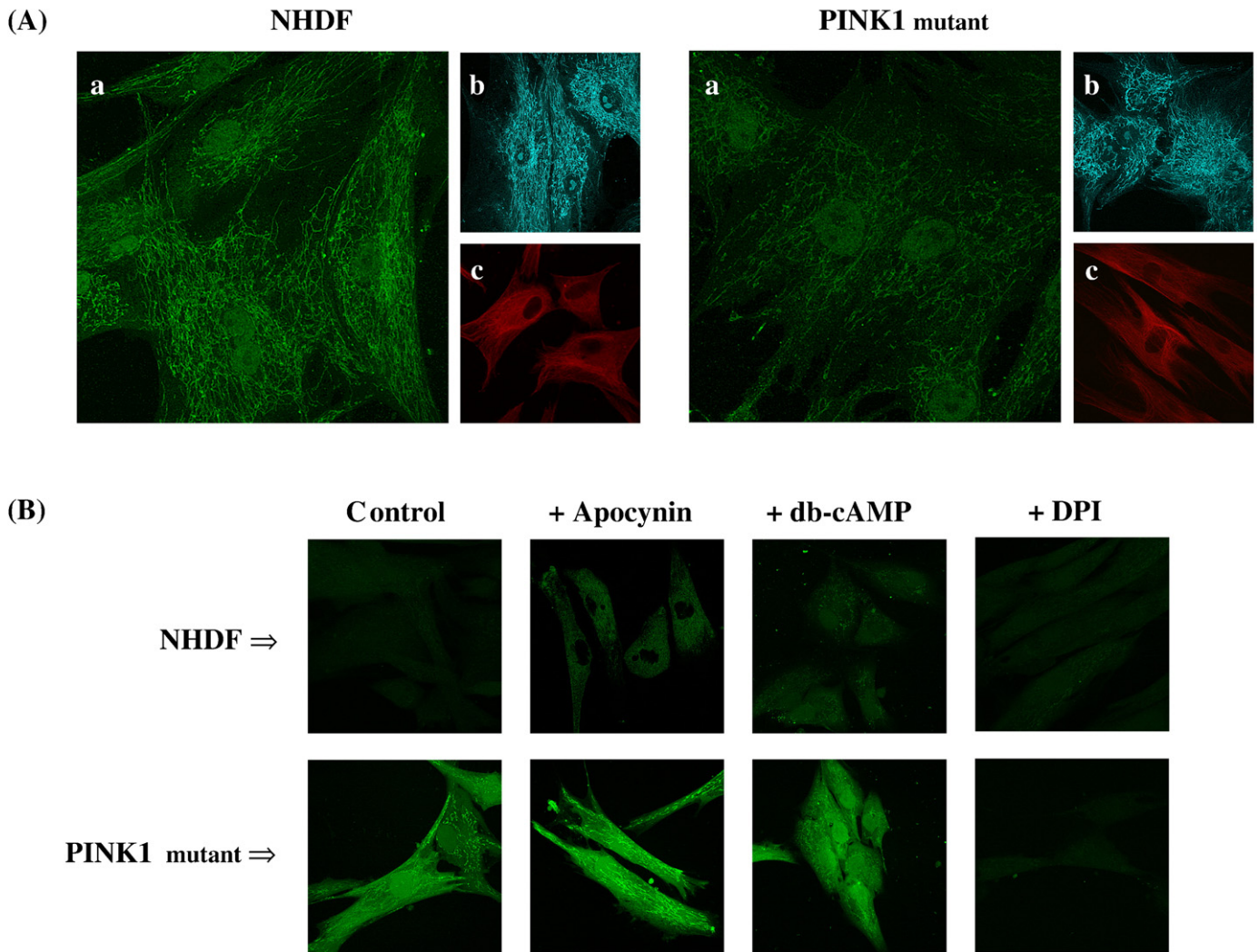


Fig. 14. Confocal microscopy analysis of cytochrome c content and H₂O₂ level in control (NHDF) and PINK1 mutant fibroblasts. A): (a) Immunocytochemical detection of cytochrome c in normal and patient's fibroblasts was performed by binding of a secondary FITC-conjugated Ab to a primary mAb for cytochrome c. (b) Immunocytochemistry for detection of the β -subunit of complex V and of subunit IV of cytochrome c oxidase (c) are also shown; in the latter a rhodamine-conjugated secondary Ab was used. B): H₂O₂ generation and localization in control (NHDF) and patient's PINK1 mutated fibroblasts. Fluorescence of the H₂O₂-probe DCF was visualised in live fibroblasts by laser scanning confocal microscopy. Reproduced with permission from Ref. [6]. See text and Ref. [6] for details.

organelles [66–68]. The PINK1 kinase, in combination with the products of other genes like PARK2, DJ-1 [67,75,101], whose mutations are associated with familial Parkinsonism, plays an essential role in the quality control of altered mitochondrial systems.

In the family examined here the homozygous ND5 and ND6 mutations were both present in the patient's mother, heterozygous for the PINK1 mutation. She presented a Parkinson syndrome in her fifties. Thus the mtDNA mutation predisposed the PINK1 heterozygous subject to a relatively late development of the disease (Fig. 12). In the patient, the homozygous PINK1 mutation, impairing quality control processes of damaged mitochondria, anticipated the decay of mitochondrial functions associated with the coexistent homoplasmic mutations in the ND5 and ND6 genes, with an early PD onset (age 22 years). The PINK1 heterozygous mutation, in the absence of ND5 and ND6 mutations, would not result in Parkinsonism, as the case of the father still exempt from the disease in his seventies.

5. Conclusions

The genetic and biochemical observations examined in this paper highlight different mechanisms by which genetic defects of complex I

alter the mitochondrial bioenergetics function in hereditary neurological diseases. Mutations in the *NDUF54* and *NDUFS1* nuclear genes of the complex are respectively associated with encephalopathy disorders with different clinical features [3]. The *NDUFS4* mutations (18 kDa AQDQ subunit), which are most unfavourable, result in disappearance of the subunit encoded by the gene, block of the last step of the assembly of the complex, complete loss of the enzymatic activity and its activation by cAMP, accumulation, for some of the mutations, of unproductive alternative transcripts, severe chronic lactic acidosis. These alterations underscore the fatal outcome of the disease, whose conceivable treatment might rest on wild-type gene transfection.

The *NDUFS1* mutation (75 kDa FeS subunit), which is associated with a less severe encephalopathy, results in marked but incomplete depression of the content and enzymatic activity of complex I and enhancement of ROS production, both these effects being reversed by cAMP. The mature complex is converted to a small form, apparently by proteolytic degradation promoted by the same ROS produced as a consequence of the mutated 75 kDa subunit. In this case treatment with β -adrenergic agonists and antioxidants could exert a beneficial effect on the clinical course of the disease.

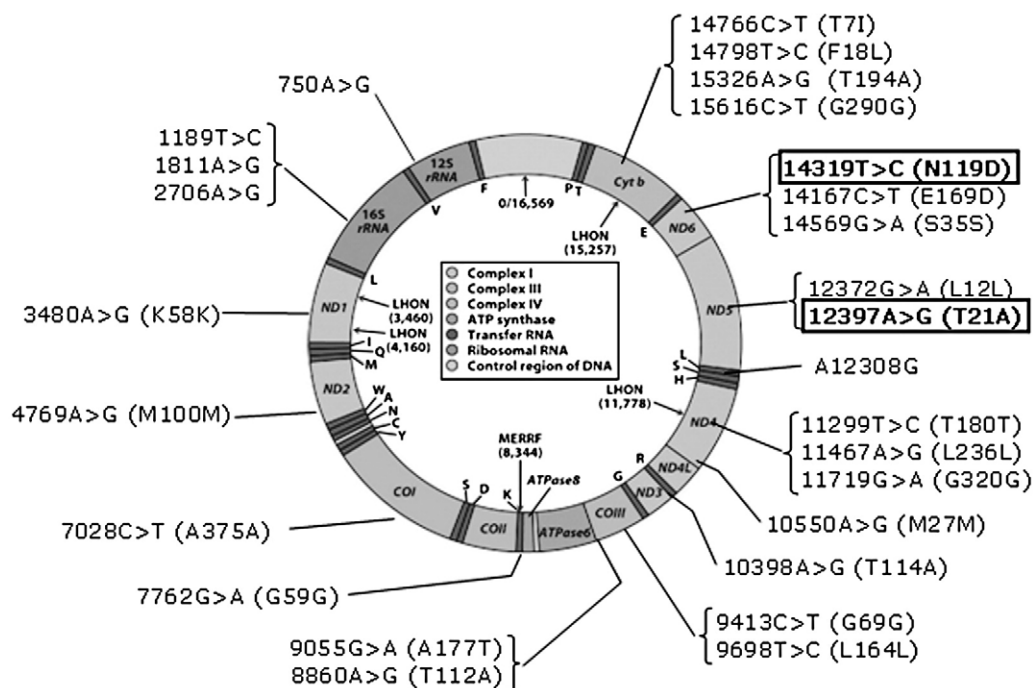


Fig. 15. Results of the mutational analysis of the entire mtDNA of the Parkinson patient with homozygous G1311A mutation in the *PINK1* gene. Reproduced with permission from Ref. [7]. See text and Ref. [7] for details.

In the case of familial chronic epilepsy and progressive encephalopathy, the deficit of complex I appeared to be associated with a genetically determined enhanced proteolytic degradation of the complex by a mitochondrial protease, possibly of the calpain family. The genetic determinants and the biochemical mechanisms leading to

this enhanced proteolytic activity remain to be elucidated. It might be recalled, here, that in spastic paraplegia, loss of paraplegin, a putative mitochondrial AAA protease, results, on the other hand, in defective assembly of complex I and increased sensitivity to oxidative stress [8,102]. Thus mitochondrial proteases can play a dual role in the

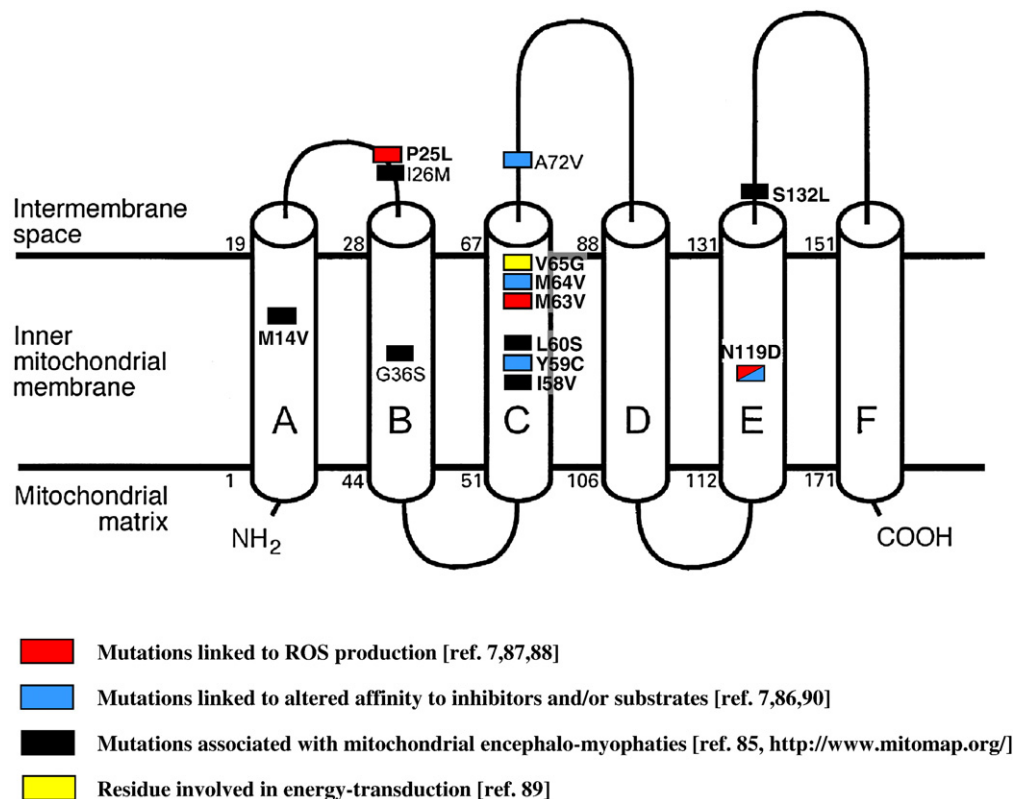


Fig. 16. Localization of reported mutations in the ND6 protein secondary structure. The secondary structure and membrane topology for the human ND6 protein was predicted by the hydropathy plot. The model predicts six putative hydrophobic transmembrane helices, connected each other by five loops (two external and three internal loops). Pathogenic changes in aminoacid residues are reported with the respective references.

control of the functional level of complex I. Those like paraplegin are essential for subunit maturation and assembly of the complex; their mutational inactivation impairs formation of the mature complex [102]. The second group of proteases, which appear to include member(s) of the calpain family, are involved in the proteolytic degradation of subunits of the complex [57]. Genetic factors leading to anomalous enhancement of this proteolysis results in a pathological decline of the functional content of complex I.

Genetic and functional analysis of mitochondria in the case of the familial PD associated with the W437X PINK1 mutation revealed that co-segregation of mutations in the *ND5* and *ND6* mitochondrial genes of complex I had an impact in determining the development and the clinical course of the disease. This finding underscores the importance of mutational analysis of mitochondrial DNA in patients with hetero- or homozygous familial forms of Parkinsonism as well as in sporadic PD. Identification of mtDNA mutations in PD could contribute to develop therapeutical measures for preventing the progress of this disease.

The features of the hereditary complex I dysfunctions described, besides clarifying the molecular basis of the pathogenetic mechanism of the associated neurological disorders, can shed light on the involvement of complex I dysfunction in sporadic neurological diseases, cancer and aging.

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